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DOCKET NO: C01037.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert L. Bratzler

Serial No.:

10/017,995

Confirmation No.:

7158

Filed:

December 14, 2001

For:

INHIBITION OF ANGIOGENESIS BY NUCLEIC ACIDS

Examiner:

Not yet assigned

Art Unit:

1645

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 27 day of February, 2003.

Maria A. Trevisan, Reg. No. 48,207

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [X]Supplemental Information Disclosure Statement
- [X]PTO Form 1449 with cited references
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

> Respectfully submitted, Robert L. Bratzler, Applicant

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. C01037.70025.US

Date: February

**XNDDX** 

DOCKET NO: C010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

blicant:

Robert L. Bratzler

rial No.: Confirmation No.: 10/017,995

7158

Filed:

December 14, 2001

For:

INHIBITION OF ANGIOGENESIS BY NUCLEIC ACIDS

Examiner:

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Art Unit:

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Commissioner for Patents Washington, D.C. 20231

#### SUPPLEMENTAL STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The applicant would like to bring to the Examiner's attention the following other information, whose relevance is discussed in Part III below:

# PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

#### PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Docket No. C01037.70025.US Date: February 27 2003

**XNDDX** 

Respectfully submitted, Robert L. Bratzler, *Applicant*  Art Unit: 1645

Bv.

Maria A. Trevisan, Reg. No. 48,207 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

673856.1

FORM PTO-1449/A and B (Modified)				APPLICATION NO.: \0017,9%			ATTY. DOCKET NO.: C01037.70025.US		
INFORMATION DISCLOSURE STATEMEN PHY APPLICANT				FILING DATE: December 14, 2001			CONFIRMATION NO.: 7158		
				APPLICANT: Robert L. Bratzler					
Sheet	Sheet MAR 0 5 707.3			GROUP ART UNITY 14:45			EXAMINER: Not yet assigned		
	PATO	AR THAPPER	/	U.S. I	PATENT	DOCUMENTS			
Examiner's Initials	Cite No.	U.S. Patent Document		ent	Name of Patentee or Applicant of Cited  Kind Code		ant of Cited	Date of Publication or of issue of Cited Document	
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		Office/ Country	Number	Kind Code	Document (not necessary)			Cited Document (*) MM-DD-YYYY	(Y/N)
			OTHER A	RT - NON	PATENT	LITERATURE DOC	UMENTS		
Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item    Translation							
Initials	No	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s).  publisher, city and/or country where published.  (Y/N)							
	C60	LIPFORD, G., et al, "Immunostimulatory DNA: sequence-dependent production of potentially harmful or useful cytokines." <i>Eur. J. Immunol.</i> , 1997. 27:3420-3426.							
EXAMINER						DATE CONSIDERI	ED		

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]